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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/607,947	06/27/2003	Wilfred E. Pearce	BOEI-1-1148	3077

7590 08/25/2004
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EXAMINER	
PECHHOLD, ALEXANDRA K	
ART UNIT	PAPER NUMBER
3671	

DATE MAILED: 08/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/607,947

Applicant(s)

PEARCE ET AL.

Examiner

Alexandra K Pechhold

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 June 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) 15-33 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date filed 12/3/03.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

Claim Objections

1. Claim 2 is objected to because of the following informalities: applicant recites “the biasing device”, which appears as if it’s referring to the expansion device set forth in claim 1; but for clarity, the same terminology should be used to avoid confusion. Appropriate correction is required.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this

Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. **Claims 1-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Baerveldt (US 5,338,130).**

Regarding claim 1, Baerveldt discloses an expansion joint comprising:

- a first generally planar member seen as the bottom planar surface of sealing strip (S) in Fig. 1, which is certainly capable of being able to slide on a first portion of at least one section of a structure thereon,
- second and third generally planar members seen as retaining elements (2) in Fig. 1, which are also certainly capable of being able

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to slide on a second portion of at least one section of the structure thereon, these members being substantially co-planar with each other (as Fig. 1 shows) and substantially parallel to the first member (again see Fig. 1), the second and third members being vertically spaced-apart from the first member (see Fig. 1), and

- an expansion device between the second and third members seen as the rest of the sealing strip (S).

Regarding claim 2, the center of the strip (S) has a slit extending downward along its length, which can be viewed as a hole, and it is certainly capable of receiving a fastener therein.

Regarding claim 3, Fig. 1 illustrates adjacent sections of the structure, seen as (1) and (2).

Regarding claim 4, the retaining elements (2) shown in Fig. 1 each define a hole for receiving a fastener therein.

Regarding claim 5, the at least one section, seen as (1) in Fig. 1, is capable of allowing sliding of an overlapping portion of a second section thereon.

Regarding claims 6 and 7, composite materials are disclosed in column 2, lines 44-48, with some materials (such as thermoplastic elastomer) having equivalent expansion characteristics.

Regarding claim 8, bridge and bridge decks are disclosed in column 1, lines 7-11.

Regarding claim 9, the flexible seal strip (2) can be viewed as an expansion spring.

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Regarding claim 10, a stiffening member can be viewed as deflector elements (3) in Fig. 1.

Regarding claim 11, Baerveldt discloses the limitations of the claimed invention as discussed in regards to claim 1 and 9 above.

Regarding claims 12 and 13, composite materials are disclosed in column 2, lines 44-48, with some materials (such as thermoplastic elastomer) having equivalent expansion characteristics.

Regarding claim 14, bridge and bridge decks are disclosed in column 1, lines 7-11.


Conclusion

14. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alexandra Pechhold whose telephone number is (703) 305-0870. The examiner can normally be reached on Mon-Thurs. from 8:00am to 5:30pm and alternating Fridays from 8:00am to 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas B. Will, can be reached on (703)308-3870. The fax phone number for this Group is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-1113.


Thomas B. Will
Supervisory Patent Examiner
Group 3600

AKP
8/14/04